

THE AMERICAN REVOLUTION AND CONFEDERATION, 1774–1787

O! ye that love mankind! Ye that dare oppose not only the tyranny but the tyrant, stand forth! Every spot of the Old World is overrun with oppression. Freedom hath been hunted round the globe. . . . O! receive the fugitive and prepare in time an asylum for mankind.

Thomas Paine, *Common Sense*, 1776

Parliament's passage of the Intolerable Acts in 1774 intensified the conflict between the colonies and Great Britain. In the next two years, many Americans reached the conclusion—unthinkable only a few years earlier—that the only solution to their quarrel with the British government was to sever all ties with it. How did events from 1774 to 1776 lead ultimately to this revolutionary outcome?

The First Continental Congress

The punitive Intolerable Acts drove all the colonies except Georgia to send delegates to a convention in Philadelphia in September 1774. The purpose of the convention—later known as the First Continental Congress—was to respond to what the delegates viewed as Britain's alarming threats to their liberties. However, most Americans had no desire for independence. They simply wanted to protest parliamentary infringements of their rights and restore the relationship with the crown that had existed before the Seven Years' War.

The Delegates

The delegates were a diverse group, whose views about the crisis ranged from radical to conservative. Leading the radical faction—those demanding the greatest concessions from Britain—were Patrick Henry of Virginia and Samuel Adams and John Adams of Massachusetts. The moderates included George Washington of Virginia and John Dickinson of Pennsylvania. The conservative delegates—those who favored a mild statement of protest—included John Jay of New York and Joseph Galloway of Pennsylvania. Unrepresented were the loyal colonists, who would not challenge the king's government in any way.

Actions of the Congress

The delegates voted on a series of proposed measures, each of which was intended to change British policy without offending moderate and conservative colonists. Joseph Galloway proposed a plan, similar to the Albany Plan of 1754, that would have reordered relations with Parliament and formed a union of the colonies within the British empire. By only one vote, Galloway's plan failed to pass. Instead, the convention adopted these measures:

1. It endorsed the Suffolk Resolves, a statement originally issued by Massachusetts. The Resolves called for the immediate repeal of the Intolerable Acts and for colonies to resist them by making military preparations and boycotting British goods.
2. It passed the Declaration and Resolves. Backed by moderate delegates, this petition urged the king to redress (make right) colonial grievances and restore colonial rights. In a conciliatory gesture, it recognized Parliament's authority to regulate commerce.
3. It created the Continental Association (or just Association), a network of committees to enforce the economic sanctions of the Suffolk Resolves.
4. It declared that if colonial rights were not recognized, delegates would meet again in May 1775.

Fighting Begins

Angrily dismissing the petition of the First Continental Congress, the king's government declared Massachusetts to be in a state of rebellion and sent additional troops to put down any further disorders there. The combination of colonial defiance and British determination to suppress it led to violent clashes in Massachusetts—what would prove to be the first battles of the American Revolution.

Lexington and Concord

On April 18, 1775, General Thomas Gage, the commander of British troops in Boston, sent a large force to seize colonial military supplies in the town of Concord. Warned of the British march by two riders, Paul Revere and William Dawes, the militia (or Minutemen) of Lexington assembled on the village green to face the British. The Americans were forced to retreat under heavy British fire; eight of their number were killed in the brief encounter. Who fired the first shot of this first skirmish of the American Revolution? The evidence is ambiguous, and the answer will probably never be known.

Continuing their march, the British entered Concord, where they destroyed some military supplies. On the return march to Boston, the long column of British soldiers was attacked by hundreds of militiamen firing at them from behind stone walls. The British suffered 250 casualties—and also considerable humiliation at being so badly mauled by “amateur” fighters.

Bunker Hill

Two months later, on June 17, 1775, a true battle was fought between opposing armies on the outskirts of Boston. A colonial militia of Massachusetts farmers fortified Breed's Hill, next to Bunker Hill, for which the ensuing battle was wrongly named. A British force attacked the colonists' position and managed to take the hill, suffering over a thousand casualties. Americans claimed a victory of sorts, having succeeded in inflicting heavy losses on the attacking British army.

The Second Continental Congress

Soon after the fighting broke out in Massachusetts, delegates to the Second Continental Congress met in Philadelphia in May 1775. The congress was divided. One group of delegates, mainly from New England, thought the colonies should declare their independence. Another group, mainly from the middle colonies, hoped the conflict could be resolved by negotiating a new relationship with Great Britain.

Military Actions

The congress adopted a Declaration of the Causes and Necessities for Taking Up Arms and called on the colonies to provide troops. George Washington was appointed the commander-in-chief of a new colonial army and sent to Boston to lead the Massachusetts militia and volunteer units from other colonies. Congress also authorized a force under Benedict Arnold to raid Quebec in order to draw Canada away from the British empire. An American navy and marine corps was organized in the fall of 1775 for the purpose of attacking British shipping.

Peace Efforts

At first the congress adopted a contradictory policy of waging war while at the same time seeking a peaceful settlement. Many in the colonies did not want independence, for they valued their heritage and Britain's protection, but they did want a change in their relationship with Britain. In July 1775, the delegates voted to send an "Olive Branch Petition" to King George III, in which they pledged their loyalty and asked the king to intercede with Parliament to secure peace and the protection of colonial rights.

King George angrily dismissed the congress' plea and agreed instead to Parliament's Prohibitory Act (August 1775), which declared the colonies in rebellion. A few months later, Parliament forbade all trade and shipping between Britain and the colonies.

Thomas Paine's Argument for Independence

In January 1776, a pamphlet was published that quickly had a profound impact on public opinion and the future course of events. The pamphlet, written by

Thomas Paine, a recent English immigrant to the colonies, argued strongly for what until then had been considered a radical idea. Entitled *Common Sense*, Paine's essay argued in clear and forceful language for the colonies becoming independent states and breaking all political ties with the British monarchy. Paine argued that it was contrary to common sense for a large continent to be ruled by a small and distant island and for people to pledge allegiance to a king whose government was corrupt and whose laws were unreasonable.

The Declaration of Independence

After meeting for more than a year, the congress gradually and somewhat reluctantly began to favor independence rather than reconciliation. On June 7, 1776, Richard Henry Lee of Virginia introduced a resolution declaring the colonies to be independent. Five delegates including Thomas Jefferson formed a committee to write a statement in support of Lee's resolution. The declaration drafted by Jefferson listed specific grievances against George III's government and also expressed the basic principles that justified revolution: "We hold these truths to be self-evident: That all men are created equal; that they are endowed by their Creator with certain unalienable rights; that among these are Life, Liberty, and the pursuit of Happiness."

The congress adopted Lee's resolution calling for independence on July 2; Jefferson's work, the Declaration of Independence, was adopted on July 4, 1776.

The Revolutionary War

From the first shots fired on Lexington green in 1775 to the final signing of a peace treaty in 1783, the American War for Independence, or Revolutionary War, was a long and bitter struggle. As Americans fought they also forged a new national identity, as the former colonies became the United States of America.

About 2.6 million people lived in the 13 colonies at the time of the war. Maybe 40 percent of the population actively participated in the struggle against Britain. They called themselves American Patriots. Around 20 to 30 percent sided with the British as Loyalists. Everyone else tried to remain neutral and uninvolved.

Patriots

The largest number of Patriots were from the New England states and Virginia. Most of the soldiers were reluctant to travel outside their own region. They would serve in local militia units for short periods, leave to work their farms, and then return to duty. Thus, even though several hundred thousand people fought on the Patriot side in the war, General Washington never had more than 20,000 regular troops under his command at one time. His army was chronically short of supplies, poorly equipped, and rarely paid.

African Americans Initially, George Washington rejected the idea of African Americans serving in the Patriot army. However, when the British promised freedom to enslaved people who joined their side, Washington and the congress quickly made the same offer. Approximately 5,000 African Americans fought as Patriots. Most of them were free citizens from the North, who fought in mixed racial forces, although there were some all-African-American units. African Americans took part in most of the military actions of the war, and a number, including Peter Salem, were recognized for their bravery.

Loyalists

Tories The Revolutionary War was in some respects a civil war in which anti-British Patriots fought pro-British Loyalists. Those who maintained their allegiance to the king were also called Tories (after the majority party in Parliament). Almost 60,000 American Tories fought next to British soldiers, supplied them with arms and food, and joined in raiding parties that pillaged Patriot homes and farms. Members of the same family sometimes joined opposite sides. For example, while Benjamin Franklin was a leading patriot, his son William joined the Tories and served as the last royal governor of New Jersey.

How many American Tories were there? Estimates range from 520,000 to 780,000 people—roughly 20 to 30 percent of the population. In New York, New Jersey, and Georgia, they were probably in the majority. Toward the end of the war, about 80,000 Loyalists emigrated from the states to settle in Canada or Britain rather than face persecution at the hands of the victorious Patriots.

Although Loyalists came from all groups and classes, they tended to be wealthier and more conservative than the Patriots. Most government officials and Anglican clergy in America remained loyal to the crown.

American Indians At first, American Indians tried to stay out of the war. Eventually, however, attacks by colonists prompted many American Indians to support the British, who promised to limit colonial settlements in the West.

Initial American Losses and Hardships

The first three years of the war, 1775 to 1777, went badly for Washington's poorly trained and equipped revolutionary army. It barely escaped complete disaster in a battle for New York City in 1776, in which Washington's forces were routed by the British. By the end of 1777, the British occupied both New York and Philadelphia. After losing Philadelphia, Washington's demoralized troops suffered through the severe winter of 1777–1778 camped at Valley Forge in Pennsylvania.

Economic troubles added to the Patriots' bleak prospects. British occupation of American ports resulted in a 95 percent decline in trade between 1775 and 1777. Goods were scarce and inflation was rampant. The paper money issued by Congress, known as continentals, became almost worthless.

Alliance With France

The turning point for the American revolutionaries came with a victory at Saratoga in upstate New York in October 1777. British forces under General John Burgoyne had marched from Canada in an ambitious effort to link up with other forces marching from the west and south. Their objective was to cut off New England from the rest of the colonies (or states). But Burgoyne's troops were attacked at Saratoga by troops commanded by American generals Horatio Gates and Benedict Arnold. The British army was forced to surrender.

The diplomatic outcome of the Battle of Saratoga was even more important than the military result. News of the surprising American victory persuaded France to join in the war against Britain. France's king, Louis XVI, was an absolute monarch who had no interest in aiding a revolutionary movement. Nevertheless, he saw a chance to weaken his country's traditional foe, Great Britain, by helping to undermine its colonial empire. France had secretly extended aid to the American revolutionaries as early as 1775, giving both money and supplies. After Saratoga, in 1778, France openly allied itself with the Americans. (A year later, Spain and Holland also entered the war against Britain.) The French alliance proved a decisive factor in the American struggle for independence because it widened the war and forced the British to divert military resources away from America.

Victory

Faced with a larger war, Britain decided to consolidate its forces in America. British troops were pulled out of Philadelphia, and New York became the chief base of British operations. In a campaign through 1778–1779, the Patriots, led by George Rogers Clark, captured a series of British forts in the Illinois country to gain control of parts of the vast Ohio territory. In 1780, the British army adopted a southern strategy, concentrating its military campaigns in Virginia and the Carolinas where Loyalists were especially numerous and active.

Yorktown In 1781, the last major battle of the Revolutionary War was fought near Yorktown, Virginia, on the shores of Chesapeake Bay. Strongly supported by French naval and military forces, Washington's army forced the surrender of a large British army commanded by General Charles Cornwallis.

Treaty of Paris News of Cornwallis's defeat at Yorktown was a heavy blow to the Tory party in Parliament that was conducting the war. The war had become unpopular in Britain, partly because it placed a heavy strain on the economy and the government's finances. Lord North and other Tory ministers resigned and were replaced by Whig leaders who wanted to end the war.

In Paris, in 1783, a treaty of peace was finally signed by the various belligerents. The Treaty of Paris provided for the following: (1) Britain would recognize the existence of the United States as an independent nation. (2) The Mississippi River would be the western boundary of that nation. (3) Americans would have fishing rights off the coast of Canada. (4) Americans would pay debts owed to British merchants and honor Loyalist claims for property confiscated during the war.

Organization of New Governments

While the Revolutionary War was being fought, leaders of the 13 colonies worked to change them into independently governed states, each with its own constitution (written plan of government). At the same time, the revolutionary Congress that originally met in Philadelphia tried to define the powers of a new central government for the nation that was coming into being.

State Governments

By 1777, ten of the former colonies had written new constitutions. Most of these documents were both written and adopted by the states' legislatures. In a few of the states (Maryland, Pennsylvania, and North Carolina), a proposed constitution was submitted to a vote of the people for ratification (approval).

Each state constitution was the subject of heated debate between conservatives, who stressed the need for law and order, and liberals, who were most concerned about protecting individual rights and preventing future tyrannies. Although the various constitutions differed on specific points, they had the following features in common:

List of Rights Each state constitution began with a “bill” or “declaration” listing the basic rights and freedoms, such as a jury trial and freedom of religion, that belonged to all citizens by right and that state officials could not infringe (encroach on).

Separation of Powers With a few exceptions, the powers of state government were given to three separate branches: (1) legislative powers to an elected two-house legislature, (2) executive powers to an elected governor, and (3) judicial powers to a system of courts. The principle of separation of powers was intended to be a safeguard against tyranny—especially against the tyranny of a too-powerful executive.

Voting The right to vote was extended to all white males who owned some property. The property requirement, usually for a minimal amount of land or money, was based on the assumption that property owners had a larger stake in government than did the poor and propertyless.

Office-Holding Those seeking elected office were usually held to a higher property qualification than the voters.

The Articles of Confederation

At Philadelphia in 1776, as Jefferson was writing the Declaration of Independence, John Dickinson drafted the first constitution for the United States as a

nation. Congress modified Dickinson's plan to protect the powers of the individual states. The Articles of Confederation, as the document was called, was adopted by Congress in 1777 and submitted to the states for ratification.

Ratification Ratification of the Articles was delayed by a dispute over the vast American Indian lands west of the Alleghenies. Seaboard states such as Rhode Island and Maryland insisted that these lands be under the jurisdiction of the new central government. When Virginia and New York finally agreed to cede their claims to western lands, the Articles were ratified in March 1781.

Structure of Government The Articles established a central government that consisted of just one body, a congress. In this unicameral (one-house) legislature, each state was given one vote, with at least 9 votes out of 13 required to pass important laws. Amending the Articles required a unanimous vote. A Committee of States, with one representative from each state, could make minor decisions when the full congress was not in session.



Powers The Articles gave the congress the power to wage war, make treaties, send diplomatic representatives, and borrow money. However, Congress did not have the power to regulate commerce or to collect taxes. To finance any of its decisions, the congress had to rely upon taxes voted by each state. Neither did the government have executive power to enforce its laws.

Accomplishments Despite its weaknesses, the congress under the Articles did succeed in accomplishing the following:

1. Winning the war. The U.S. government could claim some credit for the ultimate victory of Washington's army and for negotiating favorable terms in the treaty of peace with Britain.
2. Land Ordinance of 1785. Congress established a policy for surveying and selling the western lands. The policy provided for setting aside one section of land in each township for public education.
3. Northwest Ordinance of 1787. For the large territory lying between the Great Lakes and the Ohio River, the congress passed an ordinance (law) that set the rules for creating new states. The Northwest Ordinance granted limited self-government to the developing territory and prohibited slavery in the region.

Problems with the Articles The 13 states intended the central government to be weak—and it was. The government faced three kinds of problems:

1. Financial. Most war debts were unpaid. Individual states as well as the congress issued worthless paper money. The underlying problem was that the congress had no taxing power and could only request that the states donate money for national needs.
2. Foreign. European nations had little respect for a new nation that could neither pay its debts nor take effective and united action in a crisis. Britain and Spain threatened to take advantage of U.S. weakness by expanding their interests in the western lands soon after the war ended.
3. Domestic. In the summer of 1786, Captain Daniel Shays, a Massachusetts farmer and Revolutionary War veteran, led other farmers in an uprising against high state taxes, imprisonment for debt, and lack of paper money. The rebel farmers stopped the collection of taxes and forced the closing of debtors' courts. In January 1787, when Shays and his followers attempted to seize weapons from the Springfield armory, the state militia of Massachusetts broke Shays's Rebellion.

Social Change

In addition to revolutionizing the politics of the 13 states, the War for Independence also profoundly changed American society. Some changes occurred immediately before the war ended, while others evolved gradually as the ideas of the Revolution began to filter into the attitudes of the common people.

Abolition of Aristocratic Titles

State constitutions and laws abolished old institutions that had originated in medieval Europe. No legislature could grant titles of nobility, nor could any court recognize the feudal practice of primogeniture (the first born son's right to inherit his family's property). Whatever aristocracy existed in colonial America was further weakened by the confiscation of large estates owned by Loyalists. Many such estates were subdivided and sold to raise money for the war.

Separation of Church and State

Most states adopted the principle of separation of church and state; in other words, they refused to give financial support to any religious group. The Anglican Church, which formerly had been closely tied to the king's government, was disestablished (lost state support) in the South. Only in three New England states—New Hampshire, Connecticut, and Massachusetts—did the Congregational Church continue to receive state support in the form of a religious tax. This practice was finally discontinued in New England early in the 1830s.

Women

During the war, both the Patriots and Loyalists depended on the active support of women. Some women followed their men into the armed camps and worked as cooks and nurses. In a few instances, women actually fought in battle, either taking their husband's place, as Mary McCauley (Molly Pitcher) did at the Battle of Monmouth, or passing as a man and serving as a soldier, as Deborah Sampson did for a year.

The most important contribution of women during the war was in maintaining the colonial economy. While fathers, husbands, and sons were away fighting, women ran the family farms and businesses. They provided much of the food and clothing necessary for the war effort.

Despite their contributions, women remained in a second-class status. Unanswered pleas such of those of Abigail Adams to her husband, John Adams: "I desire you would remember the ladies and be more generous and favorable to them than your ancestors."

Slavery

The institution of slavery contradicted the spirit of the Revolution and the idea that "all men are created equal." For a time, the leaders of the Revolution recognized this and took some corrective steps. The Continental Congress abolished the importation of enslaved people, and most states went along with the prohibition. Most northern states ended slavery, while in the South, some owners voluntarily freed their slaves.

However, in the decades following the Revolutionary War, more and more slaveowners came to believe that enslaved labor was essential to their economy. As explained in later chapters, they developed a rationale for slavery that found religious and political justification for continuing to hold human beings in lifelong bondage.

HISTORICAL PERSPECTIVES: HOW RADICAL WAS THE REVOLUTION?

Was the American Revolution (1) a radical break with the past or (2) a conservative attempt simply to safeguard traditional British liberties? One approach to this question is to compare the American Revolution with other revolutions in world history.

In his *Anatomy of a Revolution* (1965), historian Crane Brinton found striking similarities between the American Revolution and two later revolutions—the French Revolution (1789–1794) and the Russian Revolution (1917–1922). He observed that each revolution passed through similar stages and became increasingly radical from one year to the next.

Other historians have been more impressed with the differences between the American experience and the revolutions in Europe. They argue that the French and Russian revolutionaries reacted to conditions of feudalism and aristocratic privilege that did not exist in the American colonies. In their view, Americans did not revolt against outmoded institutions but, in their quest for independence, merely carried to maturity a liberal, democratic movement that had been gaining force for years.

In comparing the three revolutions, a few historians have concentrated on the actions of revolutionary groups of citizens, such as the American Sons of Liberty. Again there are two divergent interpretations: (1) the groups in all three countries engaged in the same radical activities, and (2) the Americans had a much easier time of it than the French and Russians, who encountered ruthless repression by military authorities.

Another interpretation of the American Revolution likens it to the colonial rebellions that erupted in Africa and Asia after World War II. According to this view, the colonial experience in America caused a gradual movement away from Britain that culminated in demands for independence. Other studies of the military aspects of the Revolution have pointed out similarities between American guerrilla forces in the 1770s and the guerrilla bands that fought in such countries as Cuba in the 1950s and Vietnam in the 1960s. Recall that the British controlled the cities while the American revolutionaries controlled the countryside—a pattern that in the 20th century was often repeated in revolutionary struggles throughout the world. Typically, as in the case of the American Revolution, insurgent forces were weak in the cities, but strong in the surrounding territory.

Since the American Revolution pre-dated the other modern revolutions it is compared to, its influence on them is a topic of study. Seeing the American Revolution in the context of other uprisings provides insights to help understand it better.

KEY TERMS BY THEME

Separation (NAT) Intolerable Acts Patrick Henry Samuel Adams John Adams John Dickinson John Jay First Continental Congress (1774) Joseph Galloway Suffolk Resolves economic sanctions Declaration of Rights and Grievances Second Continental Congress (1775) Olive Branch Petition Declaration of the Causes and Necessities for Taking Up Arms Thomas Jefferson Declaration of Independence George Washington	Expansion (MIG, POL) Land Ordinance of 1785 Northwest Ordinance of 1787 War (POL) Paul Revere William Dawes Lexington Concord Battle of Bunker Hill Battle of Saratoga George Rogers Clark Battle of Yorktown Articles of Confederation unicameral legislature	Final Break (WOR) absolute monarch Prohibitory Act (1775) Treaty of Paris (1783) A New Nation (CUL) Thomas Paine; <i>Common Sense</i> Patriots Loyalists (Tories) Minutemen Continental Congress Valley Forge Abigail Adams Deborah Sampson Mary McCauley (Molly Pitcher) Shays's Rebellion
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